

Docket No. IL-11186

PATENT

Customer No. 26857

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

17497 U.S. PTO  
10/676905



### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Jay W. Dawson, Raymond J. Beach, Stephen A. Payne, Michael D. Feit, Christopher P.J. Barty, Zhi M. Liao

For (title): **FLATTENED MODE CYLINDRICAL AND RIBBON FIBERS AND AMPLIFIERS**

**1. Type of Application**

☒ This new application is for an original patent.

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

☐ The new application being transmitted claims the benefit of prior U.S. application(s) listed below:

Application Serial No. \_\_\_\_\_

Filing Date \_\_\_\_\_

**3. ☒ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below: 60/475,550 June 3, 2003**

Application Serial No. \_\_\_\_\_

Filing Date \_\_\_\_\_

**4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

19 Pages of specification

7 Pages of claims

1 Pages of abstract

10 Sheets of drawings

☒ formal

**5. Additional papers enclosed**

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ All the inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ Is enclosed
  - ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	28	-20 =	8 x	\$18 =	\$ 144.00
Independent Claims	2	-3 =	0 x	\$84 =	\$ 0.00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 750.00
				<b>Total</b>	<b>= \$ 894.00</b>

**10. Small Entity Statement(s)**

- ☒ This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ 447.00

**11. Fee Payment**

- ☐ Not Enclosed  
☒ Enclosed

**Total Basic Filing Fees To Be Paid** \$ 447.00

**12. Method of Payment of Fees**

- ☐ Check in the Amount of .00  
☒ Authorization is hereby \$447.00  
given to deduct the total  
fee from Deposit Account

501913

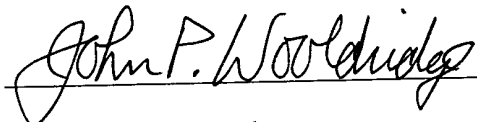
**A duplicate of this transmittal is attached.**

**13. Instructions As To Overpayment/Underpayment**

- ☒ credit/charge

Account No. 501913

- ☐ refund



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Dated: September 29, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jay W. Dawson et al.

Attorney Docket No. : IL-11186

Serial No. :

Art Unit:

Filed :

Examiner:

For : Flattened Mode Cylindrical And  
Ribbon Fibers And Amplifiers

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV123472522US

Date of Deposit September 30, 2003

I hereby certify that the following *attached*

1. New Application Transmittal (3 pages) (in duplicate);
2. Recordation Cover Sheet (in duplicate);
3. Assignment (1 page);
4. Combined Declaration and Power of Attorney (3 pages);
5. Application:  
(Specification 19 pages, Claims 7 pages, Abstract 1 page)  
Ten (10) sheets of formal drawings;
6. Express Mail Certificate; and
7. Return postcard,

are being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

John P. Wooldridge

(Type or print name of person mailing paper)

  
(Signature of person mailing paper or fee)